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PTO/SB/05 (08-00)  
Approved for use through 10/21/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL   |  | Attorney Docket No.  | 102USBB02                           |
|--|--|--|-------------------------------------|
|  |  | First Inventor   | James Bennett                       |
|  |  | Title  | Sound And Heartbeat Playback System |
|  |  | Express Mail Label No.   | EV 304936444 US                     |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))  |  |  |                                     |
| APPLICATION ELEMENTS   |  | Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Washington, DC 22313-1450   |                                     |
| See MPEP chapter 600 concerning utility patent application contents.   |  | ADDRESS TO:  |                                     |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)   |  | 7. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |                                     |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  |  | 8. Nucleotide and/or Amino Acid sequence Submission<br>(if applicable, all necessary)  |                                     |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 20]<br>(preferred arrangement set forth below)   |  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |                                     |
| -Descriptive title of the invention  |  | b. Specification Sequence Listing on:  |                                     |
| -Cross Reference to Related Applications   |  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |                                     |
| -Statement Regarding Fed sponsored R&D   |  | ii. <input type="checkbox"/> paper   |                                     |
| -Reference to sequence listing, a table, or a computer<br>program listing appendix   |  | c. <input type="checkbox"/> Statements verifying identity of above copies  |                                     |
| -Background of the Invention   |  |  |                                     |
| -Brief Description of the Drawings (if filed)  |  |  |                                     |
| -Detailed Description  |  |  |                                     |
| -Claim(s)  |  |  |                                     |
| -Abstract of the Disclosure  |  |  |                                     |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 2]   |  | ACCOMPANYING APPLICATION PARTS   |                                     |
| 5. Oath or Declaration [Total Pages 2]   |  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))   |                                     |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of<br>(when there is an assignee) Attorney                        |                                     |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)  |  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |                                     |
| i. <input type="checkbox"/> DELETION OF INVENTORS  |  | 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br>Statement (IDS)/PTO-1449 Citations                       |                                     |
| Signed statement attached deleting inventor(s)<br>named in the prior application, see 37 CFR<br>1.63(d)(2) and 1.33(b).  |  | 13. <input type="checkbox"/> Preliminary Amendment   |                                     |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |                                     |
|  |  | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |                                     |
|  |  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)<br>(2)(B)(i). Applicant must attach form PTO/SB/35 or<br>its equivalent. |                                     |
|  |  | 17. <input type="checkbox"/> Other: <input type="text"/>   |                                     |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary<br>amendment, or in an Application Data Sheet under 37 CFR 1.76:   |  |  |                                     |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:  |  |  |                                     |
| Prior application information:   |  | Examiner: Group/Art Unit:  |                                     |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under box<br>5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The<br>incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |  |  |                                     |
| 18. CORRESPONDENCE ADDRESS   |  |  |                                     |
| <input checked="" type="checkbox"/> Customer Number  |  | 23446 or <input type="checkbox"/> Correspondence address below   |                                     |
| Name   |  | Christopher Winslade   |                                     |
| Address  |  | McAndrews, Held & Malloy   |                                     |
| City   |  | State  | IL                                  |
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| USA  |  | Telephone  | (312) 775-8000                      |
|  |  | Fax  | (312) 775-8100                      |
| Name (Print/type)  |  | Registration No. (Attorney/Agent)  | 36,308                              |
| Signature  |  | Date:  | 10/3/03                             |



PTO  
10/679094

17364 U.S. PTO  
100303

PTO/SB/17 (11-00)

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# **FEE TRANSMITTAL for FY 2003**

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**385.00**

## **Complete if Known**

|                      |               |
|----------------------|---------------|
| Application Number   |               |
| Filing Date          | Herewith      |
| First Named Inventor | James Bennett |
| Examiner Name        | Unassigned    |
| Group Art Unit       | Unassigned    |
| Attorney Docket No.  | 102USB02      |

## **METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

13-0017

McAndrews, Held & Malloy

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee Description | Fee Paid               |        |
|--------------------------|--------------------------|----------|-----------------|------------------------|--------|
| 1001                     | 740                      | 2001     | 370             | Utility filing Fee     | 385.00 |
| 1002                     | 330                      | 2002     | 165             | Design filing Fee      |        |
| 1003                     | 510                      | 2003     | 255             | Plant filing fee       |        |
| 1004                     | 740                      | 2004     | 370             | Reissue filing fee     |        |
| 1005                     | 160                      | 2005     | 80              | Provisional filing fee |        |

SUBTOTAL (1) (\$)**385.00**

### **2. EXTRA CLAIM FEES**

| Total Claims                 | Extra Claims | Fee from below | Fee Paid |
|------------------------------|--------------|----------------|----------|
| 20 - 20** =                  | 0 x          |                |          |
| Independent Claims 3 - 3** = | 0 x          | 0              | 0        |
| Multiple Dependent           |              |                |          |

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee Description |
|--------------------------|--------------------------|----------|-----------------|
| 1202                     | 18                       | 2202     | 9               |
| 1201                     | 84                       | 2201     | 42              |
| 1203                     | 280                      | 2203     | 140             |
| 1204                     | 84                       | 2204     | 42              |
| 1205                     | 18                       | 2205     | 9               |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

| Large Entity<br>Fee Code | Small Entity<br>Fee Code | Fee (\$) | Fee Description | Fee Paid   |
|--------------------------|--------------------------|----------|-----------------|--|
| 1051                     | 130                      | 2051     | 65              | Surcharge - late filing fee or oath  |
| 1052                     | 50                       | 2052     | 25              | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                     | 130                      | 1053     | 130             | Non-English specification  |
| 1812                     | 2,520                    | 1812     | 2,520           | For filing a request for ex parte reexamination                            |
| 1804                     | 920*                     | 1804     | 920*            | Requesting publication of SIR prior to Examiner action                     |
| 1805                     | 1,840*                   | 1805     | 1,840*          | Requesting publication of SIR after Examiner action                        |
| 1251                     | 110                      | 2251     | 55              | Extension for reply within first month                                     |
| 1252                     | 400                      | 2252     | 200             | Extension for reply within second month                                    |
| 1253                     | 920                      | 2253     | 460             | Extension for reply within third month                                     |
| 1254                     | 1,440                    | 2254     | 720             | Extension for reply within fourth month                                    |
| 1255                     | 1,960                    | 2255     | 980             | Extension for reply within fifth month                                     |
| 1401                     | 320                      | 2401     | 160             | Notice of Appeal   |
| 1402                     | 320                      | 2402     | 160             | Filing a brief in support of an appeal                                     |
| 1403                     | 280                      | 2403     | 140             | Request for oral hearing   |
| 1451                     | 1,510                    | 1451     | 1510            | Petition to institute a public use proceeding                              |
| 1452                     | 110                      | 2452     | 55              | Petition to revive - unavoidable   |
| 1453                     | 1,280                    | 2453     | 640             | Petition to revive - unintentional   |
| 1501                     | 1,280                    | 2501     | 640             | Utility issue fee (or reissue)   |
| 1502                     | 460                      | 2502     | 230             | Design issue fee   |
| 1503                     | 620                      | 2503     | 310             | Plant issue fee  |
| 1460                     | 130                      | 1460     | 130             | Petitions to the Commissioner  |
| 1807                     | 50                       | 1807     | 50              | Processing fee under 37 CFR 1.17(q)  |
| 1806                     | 180                      | 1806     | 180             | Submission of Information Disclosure Stmt                                  |
| 8021                     | 40                       | 8021     | 40              | Recording each patent assignment per property (times number of properties) |
| 1809                     | 740                      | 2809     | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 1810                     | 740                      | 2810     | 370             | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                     | 740                      | 2801     | 370             | Request for Continued Examination (RCE)                                    |
| 1802                     | 900                      | 1802     | 900             | Request for expedited examination of a design application                  |


Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## **SUBMITTED BY**

Complete (if applicable)

|                   |   |                                      |                 |           |                |
|-------------------|---|--------------------------------------|-----------------|-----------|----------------|
| Name (Print/Type) | Christopher Winslade  | Registration No. (Attorney or Agent) | 36,308          | Telephone | (312) 775-8000 |
| Signature         |  | Date                                 | October 3, 2003 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.